

DOMESTIC WIRE QUESTIONNAIRE

Member's Name: _____ Account Number: _____

Amount of Wire: _____

Wire requests received by 1:30 p.m. (Pacific Time) shall be processed and delivered the same day. Any domestic wire requests received after 1:30 pm or on certain Federal Holidays, will be delivered and processed the next business day.

All wire requests are subject to verification and require a signed written request.

Domestic Outgoing Wire Fee - \$20.00

1. What is the Name and Routing and Transit Number (ABA) for the Beneficiary's Financial Institution?

- Name of Financial Institution: _____
- City and State: _____
- Routing and Transit Number (ABA): _____
- Does the Beneficiary Financial Institution require the wire to be sent to a Correspondent Bank?
Yes ☐ No ☐ If yes, name of Correspondent Bank: _____
Routing and Transit Number (ABA) of Correspondent Bank: _____

2. What is the purpose of the wire?

- ☐ Personal, family or household use - Examples: Transfer to external account, to family member, pay an invoice or expense, vacation invoice, or transfer to a company I do business with on a regular basis
- ☐ Commercial Use - Example: Business account to Business account
- ☐ Purchase of Real Estate - Example: Sending funds to a Title Company
- ☐ Other: _____

3. Who is the Beneficiary? (The name of the beneficiary must match the name on the receiving account)

- ☐ Individual ☐ Business

Name: _____

Address: _____

Contact Phone: _____

4. What is the Beneficiary's Account Number? _____

Further credit to (if applicable): Name: _____ Account number: _____

Additional information: _____

Signature: _____ Date: _____

Please notify me at the following email address for all future incoming and outgoing wires:

Name: _____

Account number: _____

OUTGOING WIRE FRAUD QUESTIONNAIRE:

Caltech Employees FCU takes the privacy and security of your accounts very seriously. Please answer the following questions:

1. Are you sending money to someone you don't know, have only known for short amount of time, or have never met in person? Yes ☐ No ☐
2. Are you wiring funds which were deposited by someone you do not know? Yes ☐ No ☐
3. Were you promised a large amount of money in return for sending this wire? Yes ☐ No ☐
4. Are you wiring funds in response to an internet or phone offer? Yes ☐ No ☐
5. Are you wiring for purchase of a property? (If yes, answer below) Yes ☐ No ☐
 - a) Have you seen the property in person? Yes ☐ No ☐
 - b) Have you spoken with your title company and confirmed directly that the wire instructions you've received are correct? Yes ☐ No ☐
6. Has someone acted as law enforcement, FBI, IRS or any other business you may be familiar with, and indicated:
 - a) You owe money and need to pay now. Yes ☐ No ☐
 - b) You need to secure money right away by transferring it to a secure account. Yes ☐ No ☐
 - c) You need to purchase gift cards or provide cash in secret. Yes ☐ No ☐
 - d) How to respond to Credit Union questions about a wire. Yes ☐ No ☐
 - e) An insider at the Credit Union is stealing your money. Yes ☐ No ☐

Criminals are scamming individuals into sending fraudulent wire transfers. These scammers will convince you to lie and will coach you on how to respond to Caltech EFCU employees about where the money is going or what it is being used for. Members like you have lost large sums of money due to scams. Caltech EFCU needs to make you aware of this risk.

If you have not met the person receiving the money, or you have been coached on how to handle the transaction, you may be getting scammed. These scams can include investments, renovations, romance, new relationships, or even buying some sort of asset, such as property, an animal or computer software, for example.

If you choose to move forward with this transaction and later discover you have been the victim of a scam, you may not be able to get your money back. Knowing this risk, do you wish to proceed with this wire transfer? Yes ☐ No ☐

Initial: _____